## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |  |
|--|--|
| Section 16. Form 4 or Form 5           |  |
| obligations may continue. See          |  |
| Instruction 1(b).                      |  |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Paggiarino Dario A. |  |                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>EyePoint Pharmaceuticals</u> , Inc. [EYPT] | (Check                 | tionship of Reporting Per<br>all applicable)<br>Director<br>Officer (give title           | son(s) to Issuer<br>10% Owner<br>Other (specify |
|---|--|----------------|---|------------------------|---|---|
|   | Last) (First) (Middle)<br>C/O EYEPOINT PHARMACEUTICALS, INC.<br>80 PLEASANT STREET |                | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/14/2019                                      | X                      | below)<br>Chief Medical   | below)  |
| (Street)<br>WATERTOWN MA 02472  |  | 02472<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing<br>Form filed by One Rep<br>Form filed by More that<br>Person | orting Person                                   |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      |   |        |               |                              | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------|---|--------|---------------|------------------------------|---|---|---|
|                                 |  |   | Code | v | Amount | (A) or<br>(D) | Price                        | Transaction(s)<br>(Instr. 3 and 4)  |   |   |
| Common Stock                    | 06/14/2019                                 |   | М    |   | 14,200 | A             | <b>\$0.00</b> <sup>(1)</sup> | 42,612  | D   |   |
| Common Stock                    | 06/14/2019                                 |   | F    |   | 4,175  | D             | \$1.53 <sup>(2)</sup>        | 38,437  | D   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   |     |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-----|--------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Restricted<br>Stock<br>Units                        | \$0.00  | 06/14/2019                                 |   | М                            |   |     | 14,200 | (3)  | (3)                | Common<br>Stock   | 14,200                                 | \$0.00  | 28,400   | D  |  |

Explanation of Responses:

1. Restricted stock units convert into common stock on a one-for-one basis.

2. No shares were sold - these shares were withheld by the issuer to satisfy tax withholding requirements in connection with the Reporting Person's exercise of his withholding right following the vesting of the restricted stock units

3. On June 14, 2018, the reporting person was granted 42,600 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

#### **Remarks:**

<u>/s/ John Mercer, Attorney-in-</u> <u>Fact</u>

06/17/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.